



States, Strength & Speed Aligned

IIPRC FILING TIP SHEET

CREATING AN IIPRC FILING

Begin Here— Click **Create Compact Filing** from the Filing tab.

Step 1 — Complete information and click “Next.”

Business Type: Life, Accident/Health, Annuity, Credit

Product Name: *

Project Name:

Project Number:

Authors: *

Grey, Kathy
Smith, Dolly
Wormack, Sherry

Kostalski

>>

Steps 2 & 3 — Select the Type and Sub-Type of Insurance and click “Next.”

Selected States
Interstate Insurance Compact

Previous Next

Save and Close Save Cancel

Type Of Insurance *

Please select a value

Please select a value

L041 Individual Life - Term

L041 Sub-Type Of Insurance *

Please select a value

L041.005 Single Life - Single Premium

Step 4 — Choose the Filing Type(s) and click “Next.”

Selected States Filing Types *

Interstate Insurance Compact

Forms/Application

TOI: L041 Individual Life - Term

Sub-TOI: L041.005 Single Life - Single Premium

Alaska

Application

Policy Forms/Application/Benefits

Colorado

Application

Policy Forms/Application/Benefits

Step 5 — Confirm the selections made thus far. Click “Save and Continue.”

| State | TOI | Sub-TOI | Filing Types | States |
|------------------------------|-----------------------------|---|--------------|--|
| Interstate Insurance Compact | L041 Individual Life - Term | L041.005 Joint (Last Survivor) - Single Premium | Policy Forms | ID , NC , PA , PR , RI , VA MD , VT , AK , MI , TN , O |
| Interstate Insurance Compact | L041 Individual Life - Term | L041.005 Joint (Last Survivor) - Single Premium | Application | ID , NC , PA , PR , RI , VA MD , VT , AK , MI , TN , O |

Previous Save and Continue

Step 6 — Choose the filing contact and companies. Click “Next.”

Select a Contact: * Dolly Smith

Companies: *

Move All

Testing adding company

>

Step 7 — Select which companies are filing to which states. Click “Next.”

Reset Select All Companies De-Select All Companies

Alaska

Testing adding company

Colorado

Testing adding company

Step 8 — Enter the default filing data, if applicable. Click “Next.”

Company Tracking Number:

Submission Type: -Please Select-

Implementation Date Requested: *

On Approval

Step 9 — Review the filings to be created and click “Finish.”

| State | TOI | Sub-TOI | Filing Types |
|------------------------------|-----------------------------|---|--------------|
| Interstate Insurance Compact | L041 Individual Life - Term | L041.005 Joint (Last Survivor) - Single Premium | Policy Forms |
| Interstate Insurance Compact | L041 Individual Life - Term | L041.005 Joint (Last Survivor) - Single Premium | Application |

Testing adding company (AK , NE , NH , NC , OH , OK , PA , P

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Draft Filings—Choose the draft you wish to begin working on from the list. You will be taken into that filing.

Don't see this button? Don't panic! Have your Filing Manager contact the SERFF Help Desk at 816.783.8990.

The Business Type will default for you. Also—your name will automatically be included in the authors list. You can make changes as necessary.

The Types of Insurance and Sub-Types of Insurance will always be the current with the lines of business for which the IIPRC is accepting filings. **Don't see a product you thought you could file?** Contact the IIPRC at 202.471.3962 or info@insurancecompact.org.

The bottom of this screen will show exclusions. These are state instances who do not allow filing of this product. Many times a state has multiple instances, so the exclusion is simply that the particular listed instance isn't the instance for Life filings. In these cases, the state should still be listed above.

Exclusions:

Georgia:

This is the Georgia Health instance, therefore no individual life products are accepted statement does not apply to any non-IIPRC state Product Components that contain re

Kansas:

This is the Kansas Accident & Health instance, therefore no individual life products are

All of your eligible filing companies will show in the list on the left. You can use the arrows and the “Move All” button to select which companies should be on the filing.

Don't see a company you wish to include on the filing?

Have a Configuration Manager verify that the company is set up in your Companies area under Settings. Also, ensure the company is set up for EFT. If you need assistance, contact the SERFF Help Desk at 816.783.8990.

The information on this screen is optional in the Filing Wizard. Any information you complete here will carry into any filings you have created in this process. This information can be changed on individual filings once you have completed the wizard.

Where possible, SERFF gives you the option to go to the previous page or next page. You can also “Save” which will save your work (always a good idea!) or “Save and Close” which will save your work and close the filing. The filing will be stored under your “My Drafts” tab.

Multiple filings might have been created. Each filing must be completed and submitted individually when ready.

Required fields are marked with an asterisk (*).

IIPRC FILING

TIP SHEET

INTERSTATE INSURANCE
PRODUCT REGULATION COMMISSION



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FEES AND EFT IN AN IIPRC FILING

- Once in the filing, click on the "Filing Fees" tab.
- The top section is for "Overall Fee Information" to the IIPRC. Enter the amount of fee to the IIPRC and the explanation of your calculation.
- The next section is information for EFT to the IIPRC. Each company you are filing for will be listed. Enter the amount of funds to be EFT'ed for each company.

| General Information | Form Schedule | Rate/Rule Schedule | Supporting Documentation | State Specific | Companies and Contact | Filing Fees | Filing Correspondence | | | | |
|---|---------------|--------------------|--------------------------|----------------|-----------------------|-------------|-----------------------|---------|--------|------------------------|---------|
| <p>Overall</p> <p>Interstate Insurance Compact Fee Required <input type="checkbox"/> Not Retaliatory <input type="checkbox"/> Fee Calculation Explanation : <input type="text"/></p> <hr/> <p>EFT</p> <p>EFT Information for Interstate Compact :</p> <table border="1"> <thead> <tr> <th>Company</th> <th>Amount</th> </tr> </thead> <tbody> <tr> <td>Testing adding company</td> <td>\$ 0.00</td> </tr> </tbody> </table> | | | | | | | | Company | Amount | Testing adding company | \$ 0.00 |
| Company | Amount | | | | | | | | | | |
| Testing adding company | \$ 0.00 | | | | | | | | | | |
| <p>Overall</p> <p>Alaska <input type="checkbox"/> Fee Required</p> | | | | | | | | | | | |
| <p>Overall</p> <p>Colorado <input type="checkbox"/> Fee Required</p> | | | | | | | | | | | |

- For each state you are including on this filing, you will be able to choose whether a fee is required.
 - If a fee **is** required for a state, place a checkmark in "Fee Required." This section will expand and you will be given an Overall Fee section and an EFT Fee section for that state, which you should complete with the appropriate information.
 - If a fee **is not** required for a state, no action is required in that state's section. **States that don't have any fees will not show on the Fees tab at all.**

ADDING A STATE TO A SUBMITTED IIPRC FILING

Included States: GA, ID, IN

States included on the IIPRC filing will be listed on the "Companies and Contacts" tab, on the right side next to each company.

Click on the **Add State** button at the top of the filing to add a state to the current filing.

| | |
|---|--------|
| Add State | Cancel |
| Select state to add * <input type="text" value="-Please Select-"/> | |
| Select companies for selected state <input type="checkbox"/> Testing adding company | |

Choose the state you wish to add and mark which companies you wish to file for in this state, then click "Add State."

If a fee is required, put a checkmark in the ☐ Fee Required checkbox. If no fee is required, leave this blank. Then click on "Submit." If there isn't a fee, you have completed adding a state.

| | | | |
|--|--------------------------------------|-------------------------|--|
| <input checked="" type="checkbox"/> Fee Required | <input type="checkbox"/> Retaliatory | \$ <input type="text"/> | Fee Calculation Explanation : <input type="text"/> |
| Additional EFT Fees | | | |
| Company | Amount | | |
| Testing adding company | \$ <input type="text"/> | | |

If you selected that a fee is required, you will be provided with an area to enter the fee information, as explained above. Once you click "Submit," you have completed adding a state. **NOTE* At this time the IIPRC is not collecting fees from companies who wish to add a state to their filings.**

Have a question not answered here?

Check www.serff.com & www.insurancecompact.org
 Contact the SERFF Help Desk—serffhelp@naic.org, 816.783.8990
 Contact the IIPRC—info@insurancecompact.org, 202.471.3962



WWW.INSURANCECOMPACT.ORG